

# Sampling & Quantization

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Dr. Tushar Sandhan

# Introduction

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○ Input



○ Sampling



○ Quantization



# Sampling

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- Sampling
  - determines spatial resolution
  - space digitization



# Sampling

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- Sampling
  - determines spatial resolution
  - space digitization
- Image frequency
  - what are freq contents inside an image?
  - is the uniform sampling optimal?
  - is oversampling useful?
  - strive for efficient sampling
    - sampling density
    - data storage, data transmission

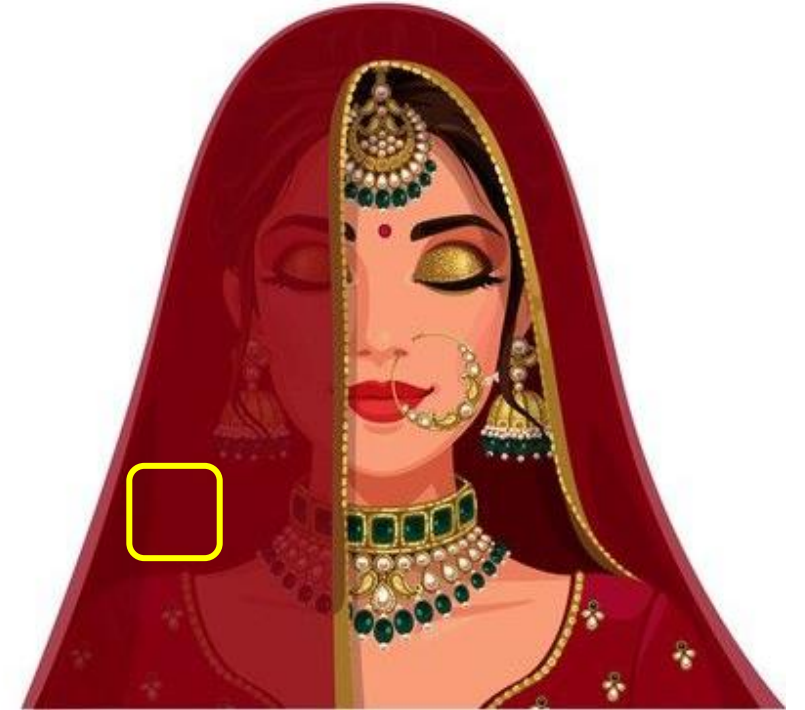




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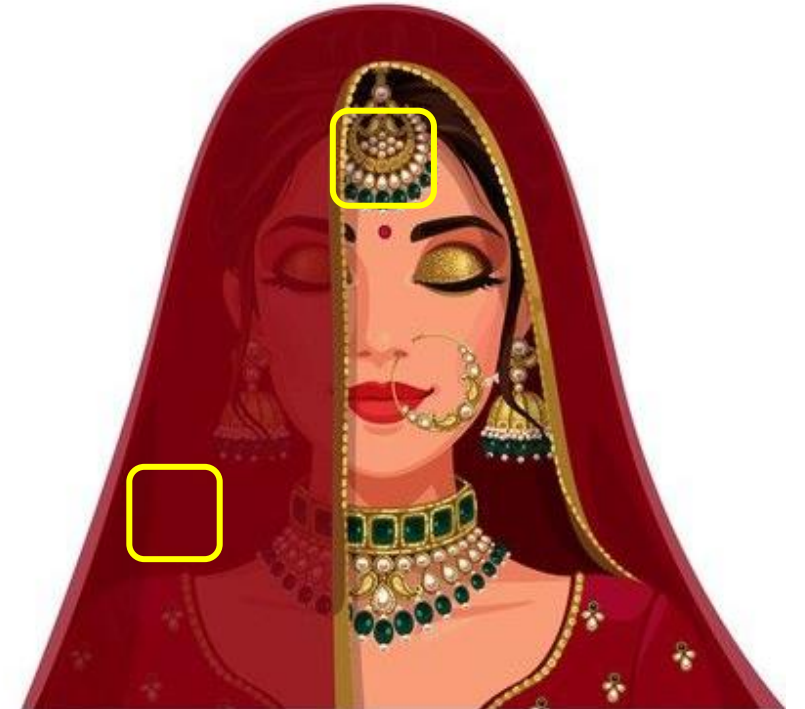
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- Sampling

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- space digitization

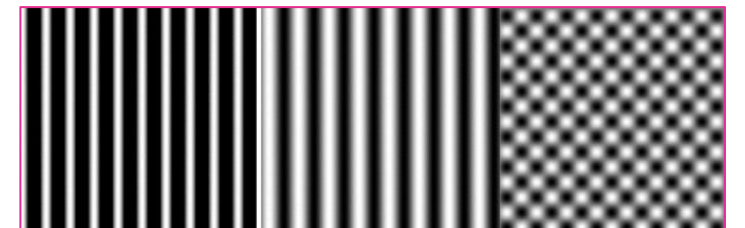
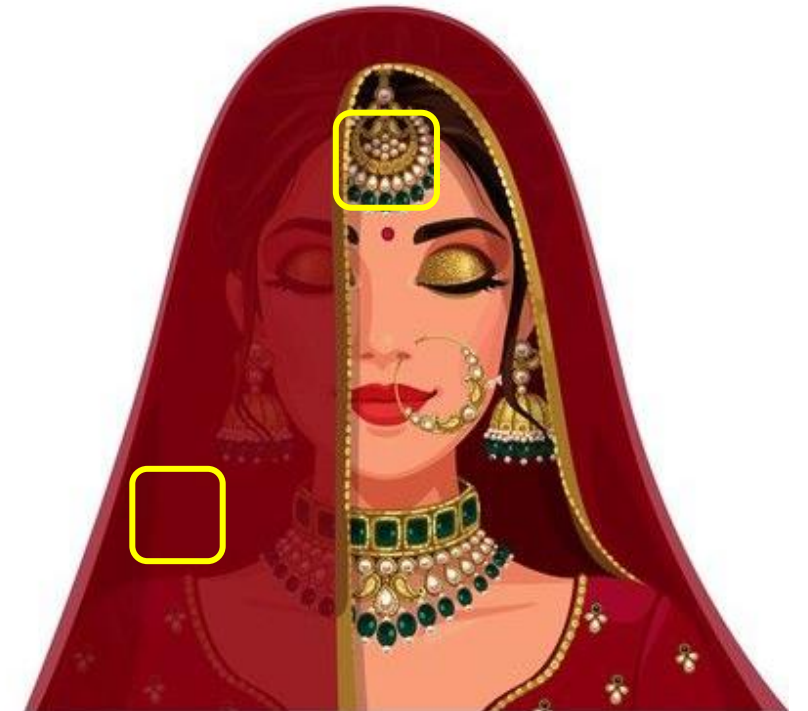
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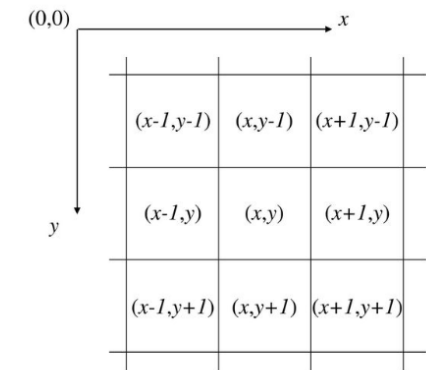
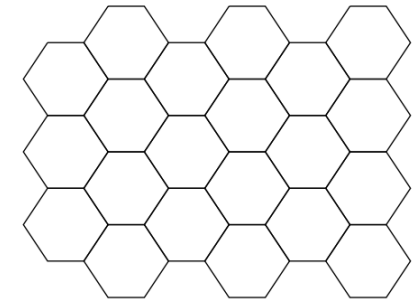
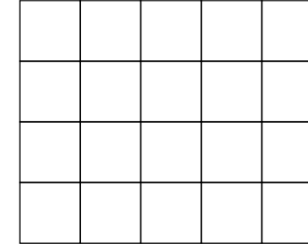




# Sampling

## ■ Grid

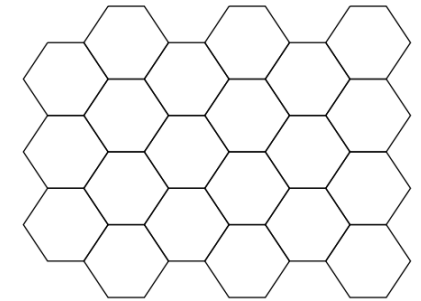
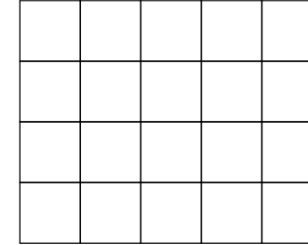
- continuous image is digitized at sampling points
- sampling points ordered in the plane
- their geometric relation – grid
- smallest grid point corresponds to – pixel (2D)
- voxel (3D)



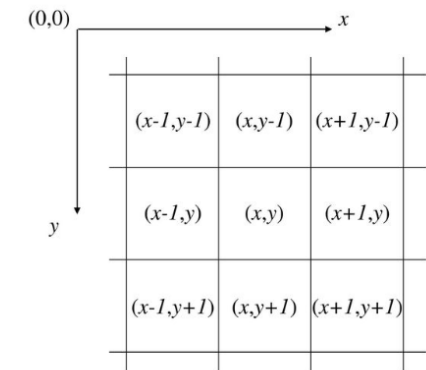
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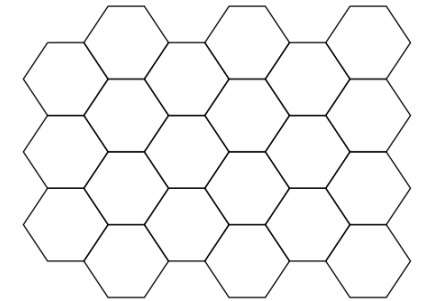
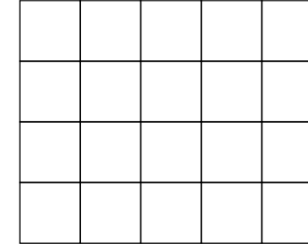
## ■ Neighbourhood



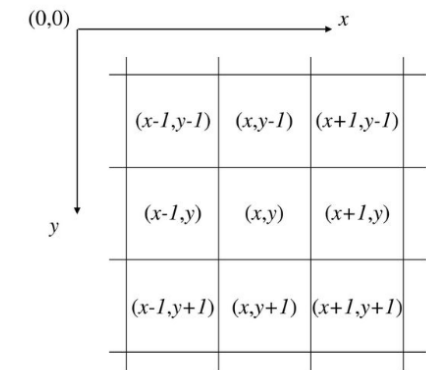
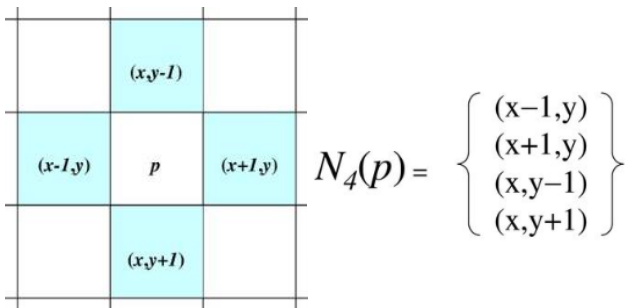
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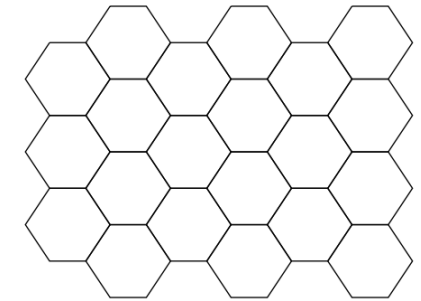
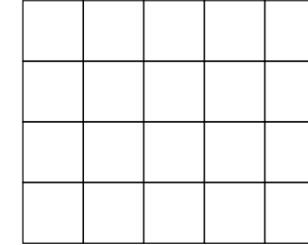
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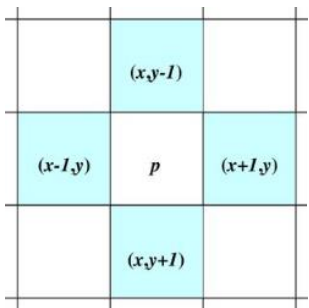
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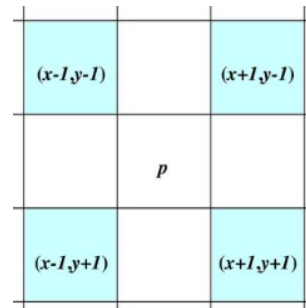
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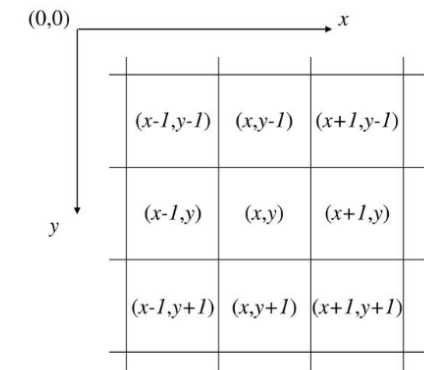
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$$N_4(p) = \left\{ \begin{array}{l} (x-1, y) \\ (x+1, y) \\ (x, y-1) \\ (x, y+1) \end{array} \right\}$$



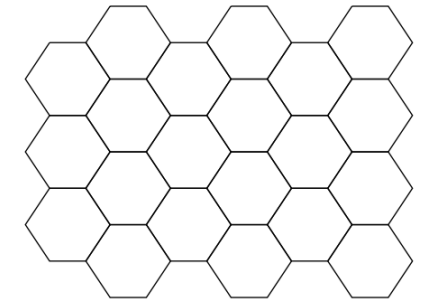
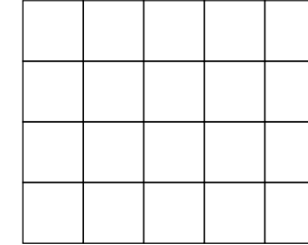
$$N_D(p)$$



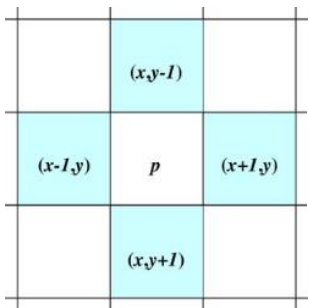
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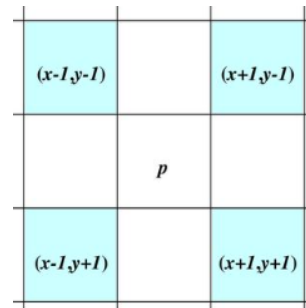
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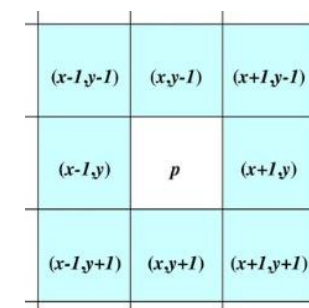
## ■ Neighbourhood



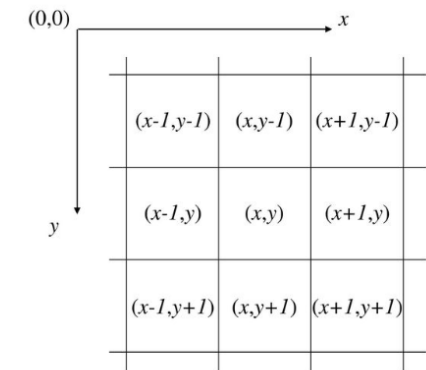
$$N_4(p) = \begin{Bmatrix} (x-1,y) \\ (x+1,y) \\ (x,y-1) \\ (x,y+1) \end{Bmatrix}$$



$$N_D(p)$$



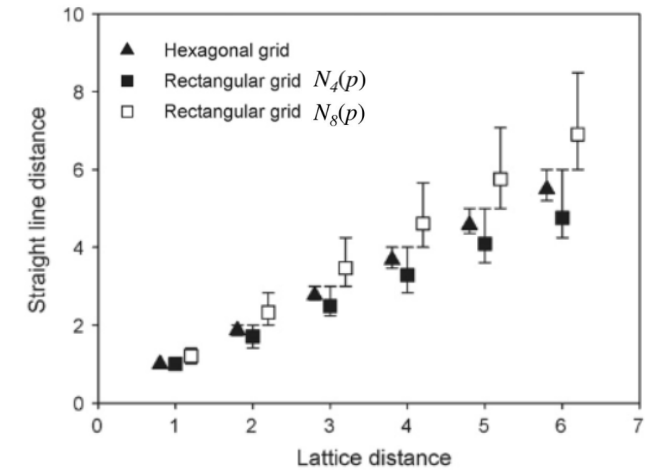
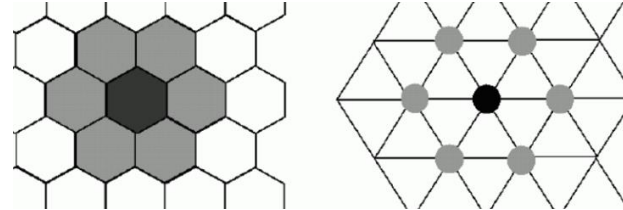
$$N_8(p)$$





# Sampling

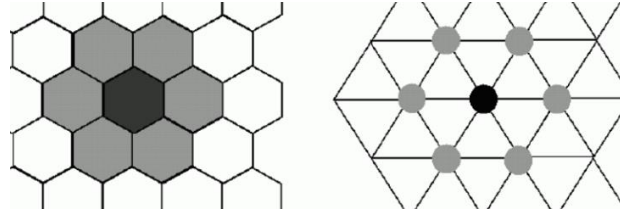
- Neighbourhood
  - hexagonal grid
  - neighbour interaction



# Sampling

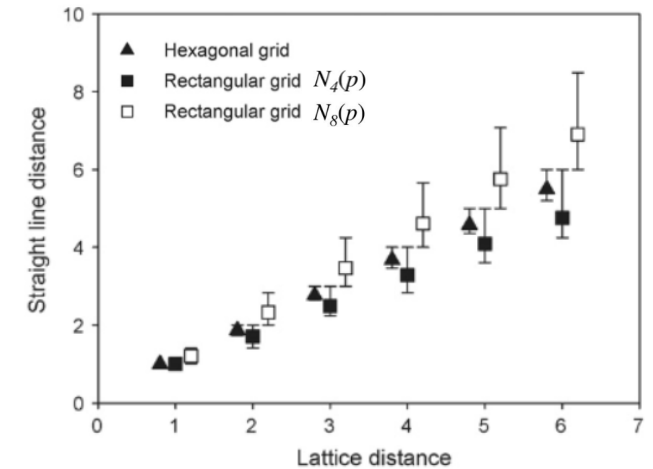
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- Neighbour interactions

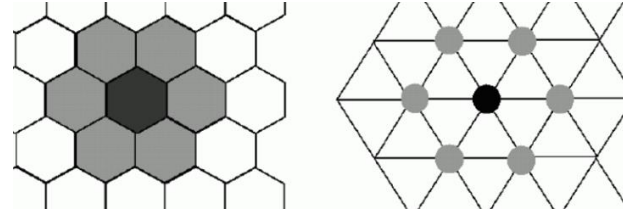
- distance, energy, edges, features
- sq. grid neighbourhood paradox
  - $N_4$ : broken ring encloses
  - $N_8$ : complete ring without enclosure



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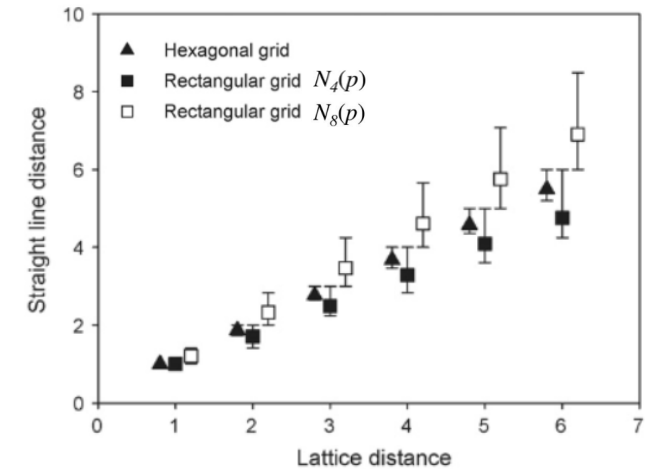
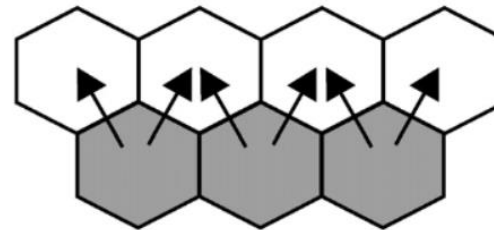
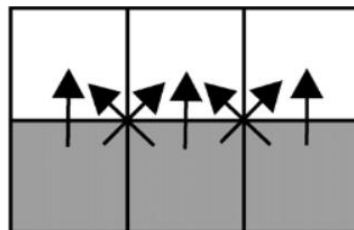
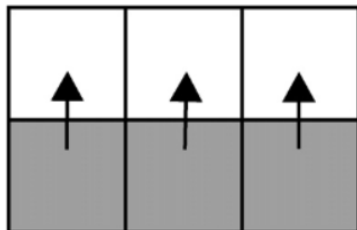
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- Neighbour interactions

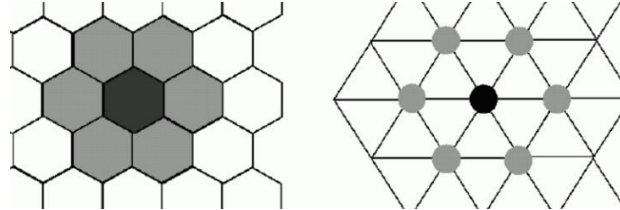
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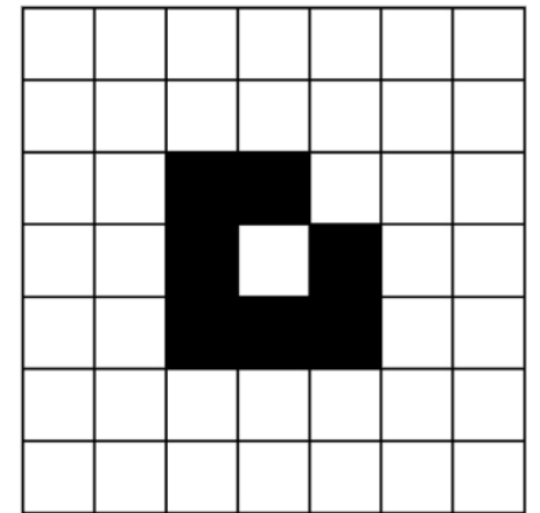
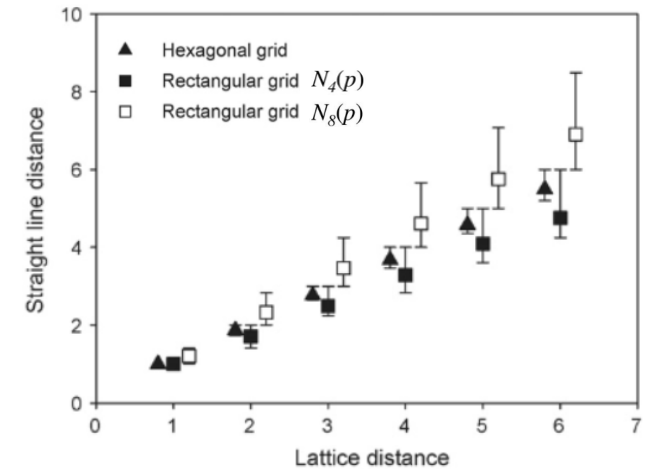
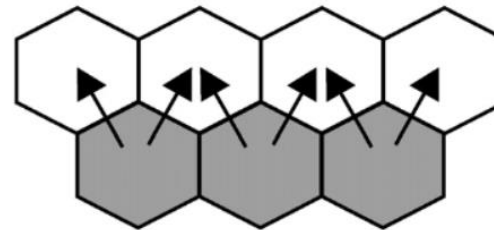
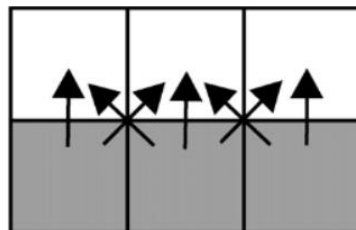
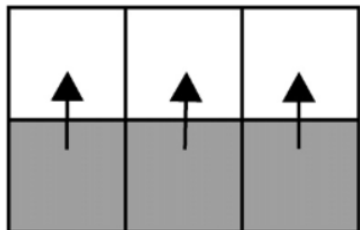
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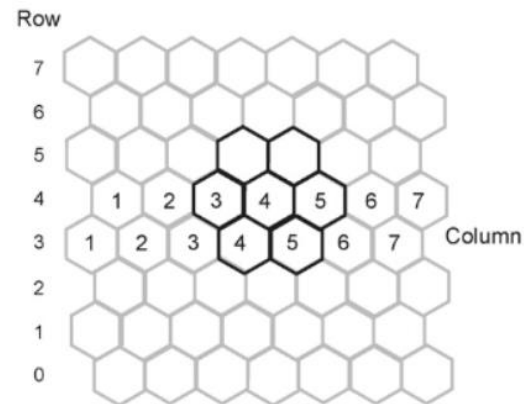
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# Sampling

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- Coordinate system
  - hexagonal grid
  - SHCS: symmetrical hexagonal coordinate system in (C)

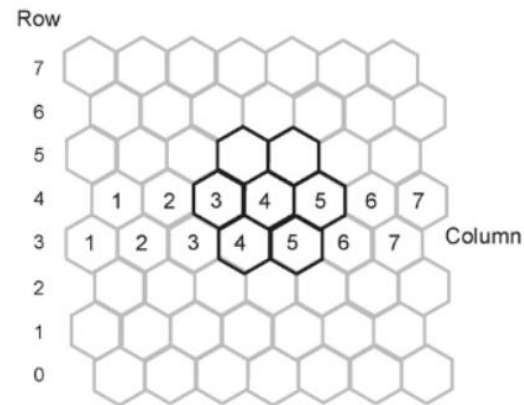


(a)

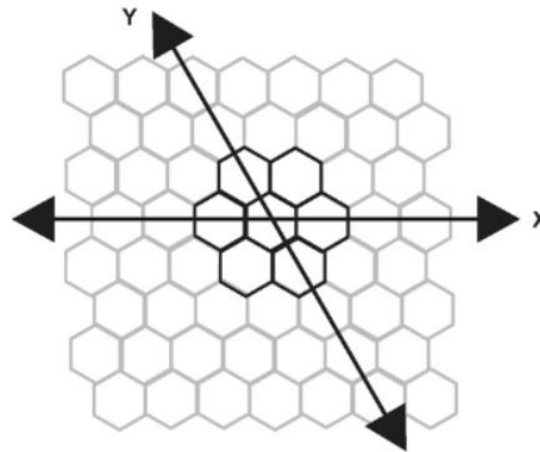


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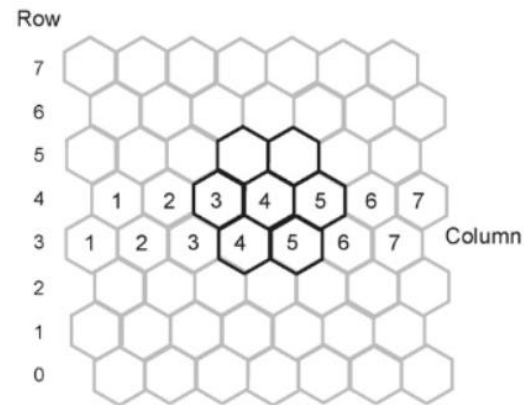
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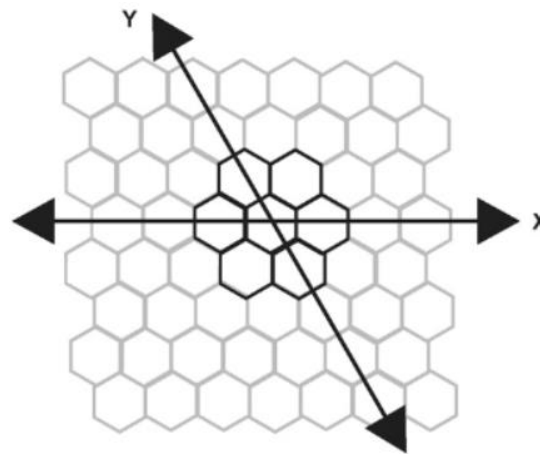
(b)

# Sampling

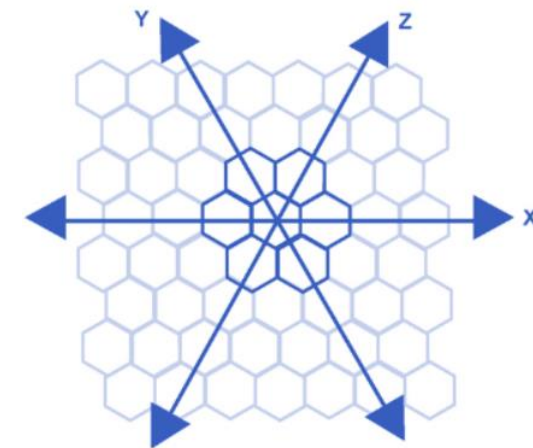
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(a)



(b)



(C)

# Sampling

- Coordinate system

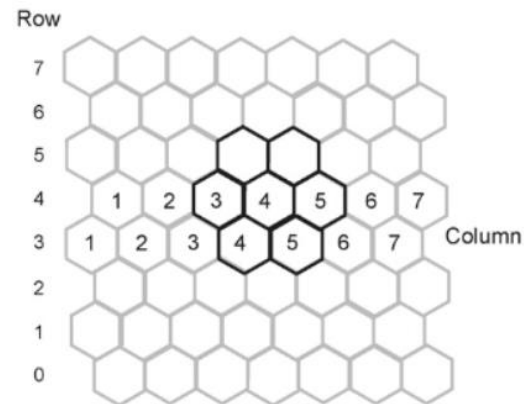
- hexagonal grid
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$$\forall (x, y, z) : x + y + z = 0$$

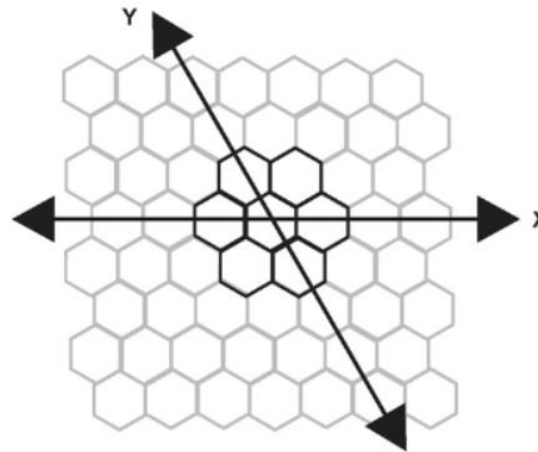
$(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2)$  are the co-ordinates of the two hexagons.

$$D_{\text{Eucl.}}[(x_1, y_1, x_1), (x_2, y_2, x_2)] \\ = \sqrt{\frac{1}{2}[(x_1 - x_2)^2 + (y_1 - y_2)^2 + (z_1 - z_2)^2]}$$

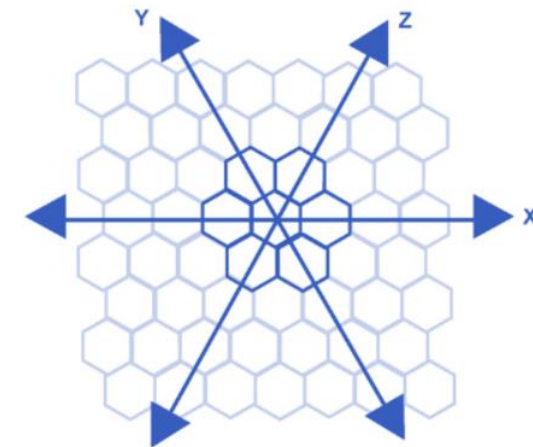
$$D_{\text{Grid}}[(x_1, y_1, x_1), (x_2, y_2, x_2)] = \max(|x_1 - x_2|, |y_1 - y_2|, |z_1 - z_2|)$$



(a)



(b)



(C)

# Sampling

## Coordinate system

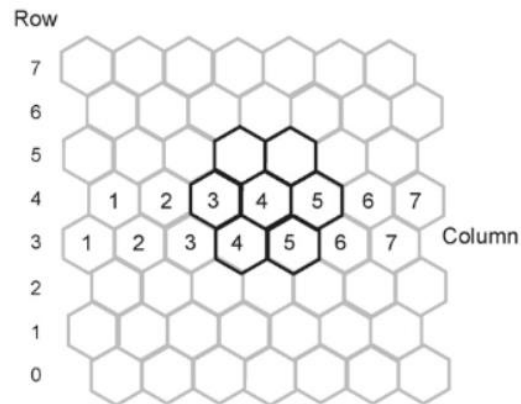
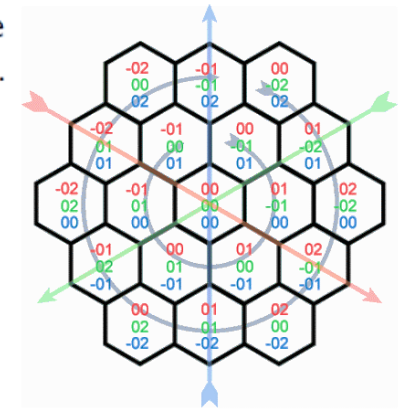
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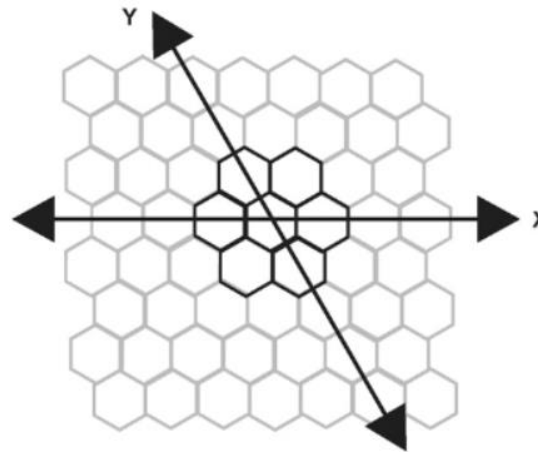
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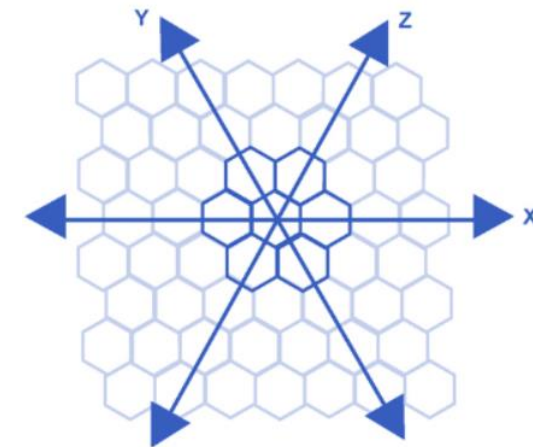
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(a)



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(C)

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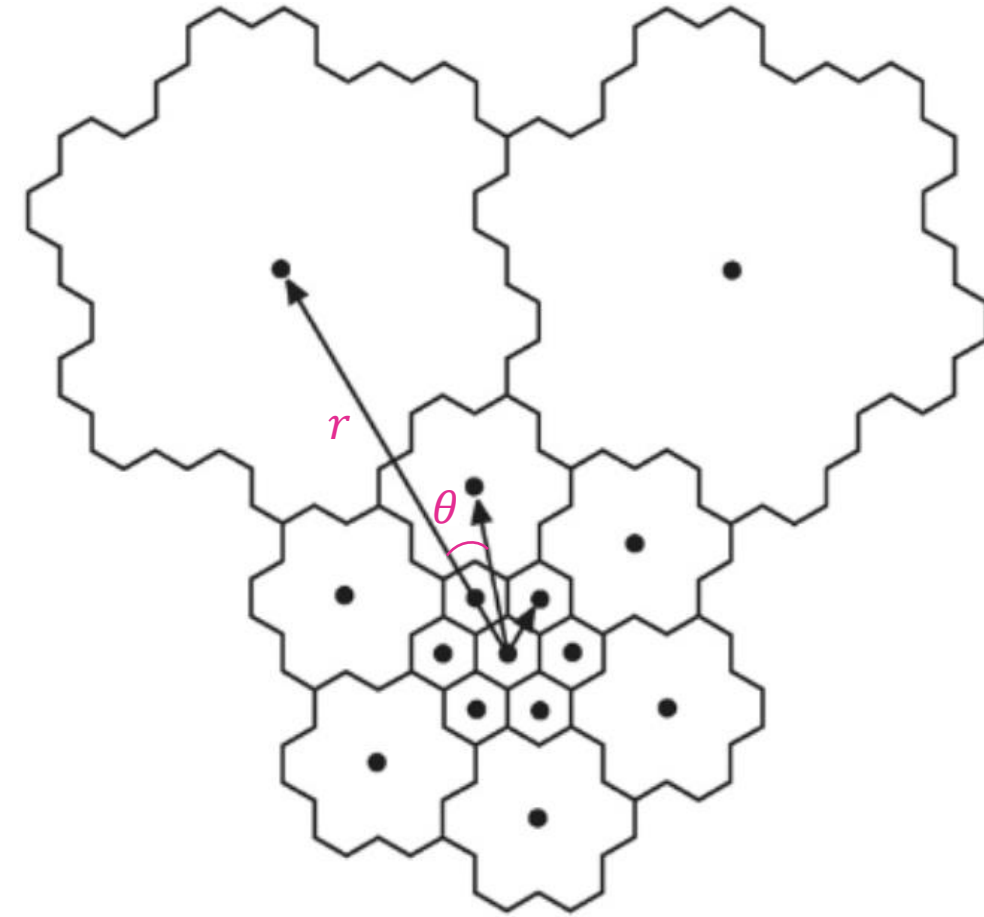
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- Hierarchical grids
  - neighbours at finer scale become focal cell or centroids for coarser scale
  - smooth out or simplify some grids
    - dynamic grid resolution
  - $\theta, r$  can be used to find out current resolution scale



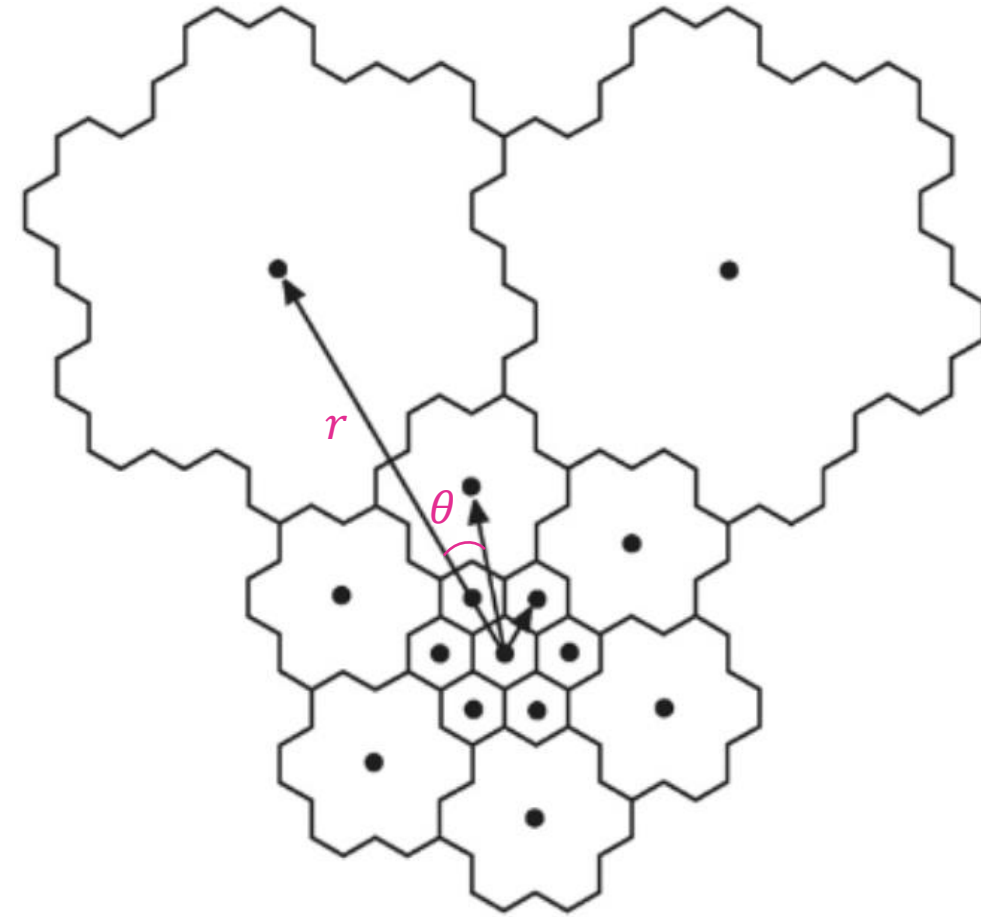
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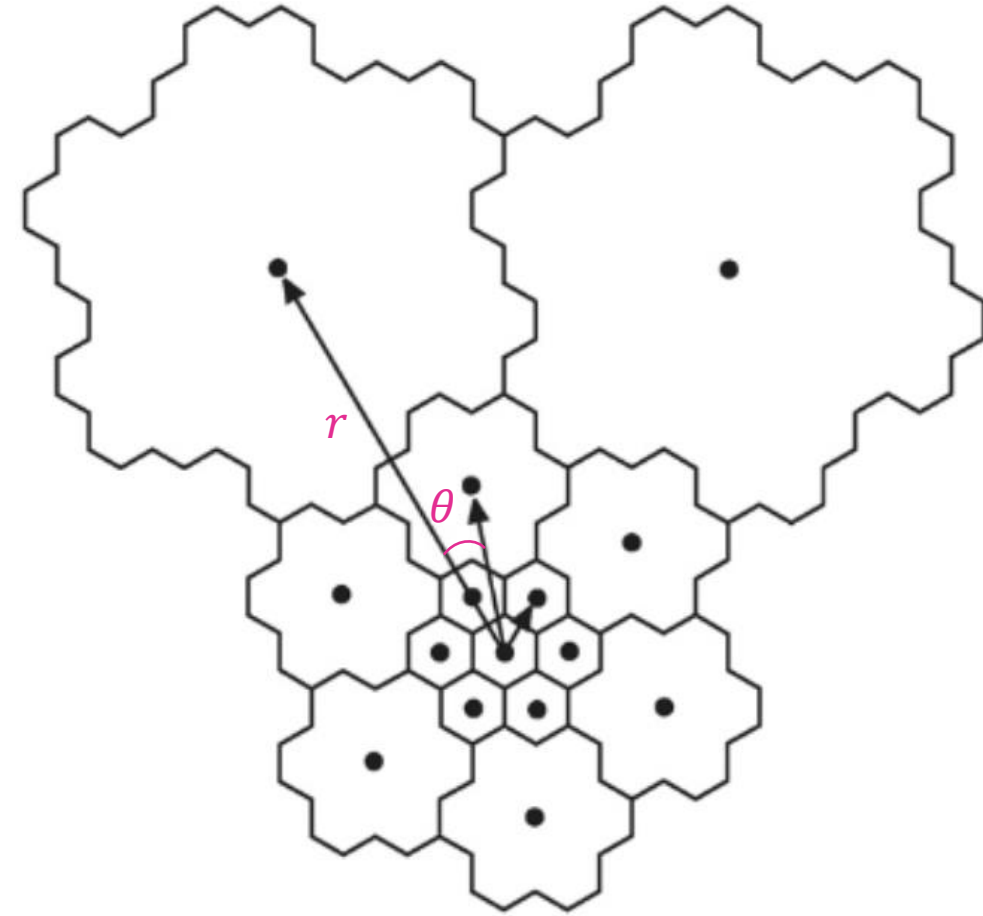
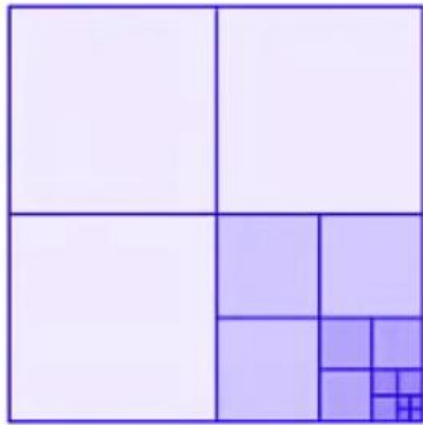
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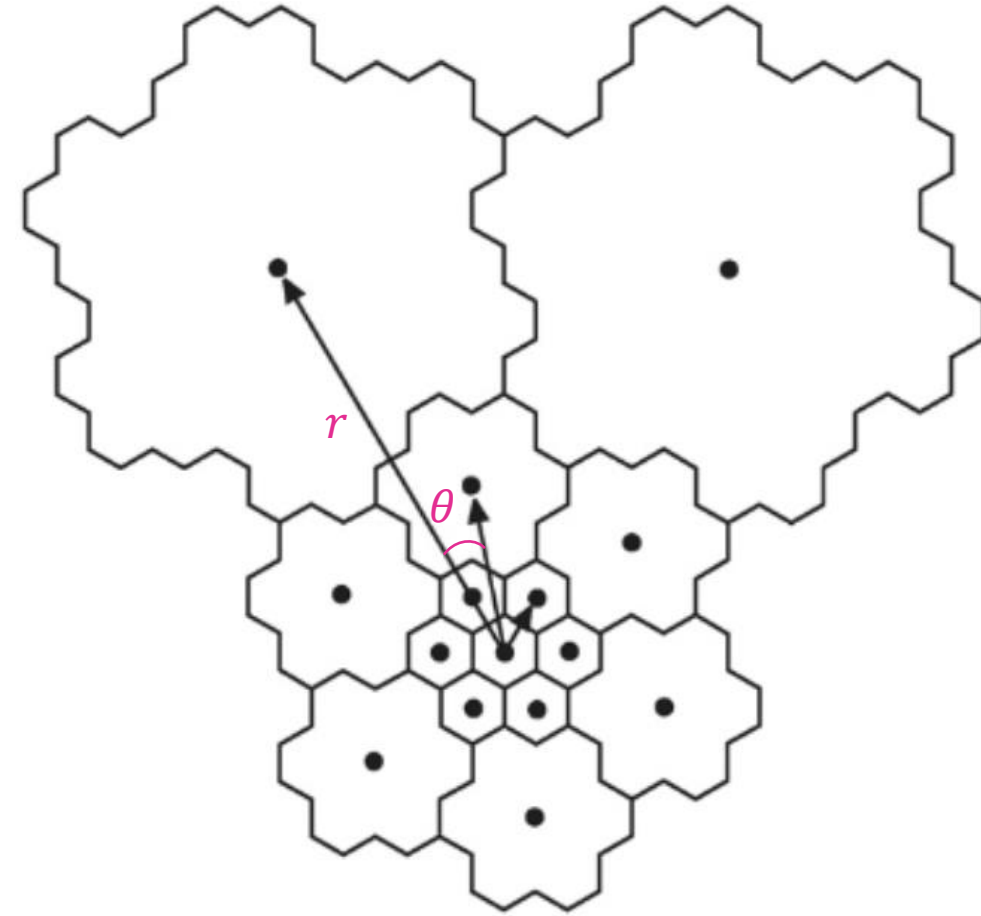
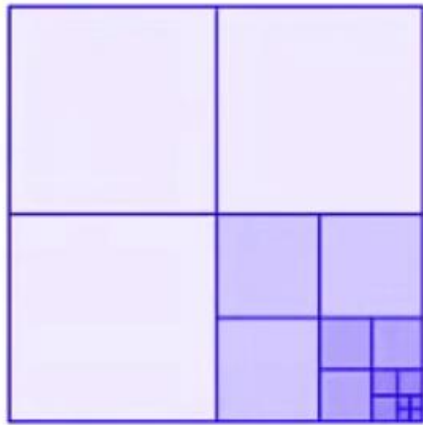
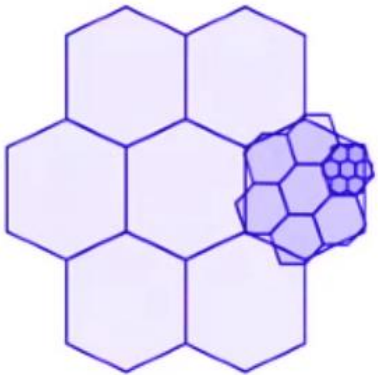
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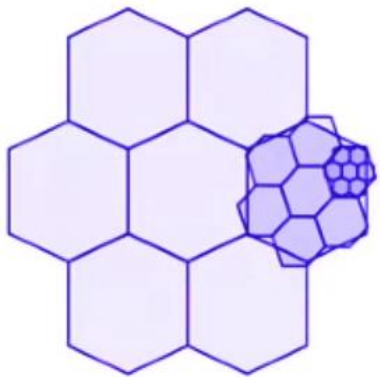
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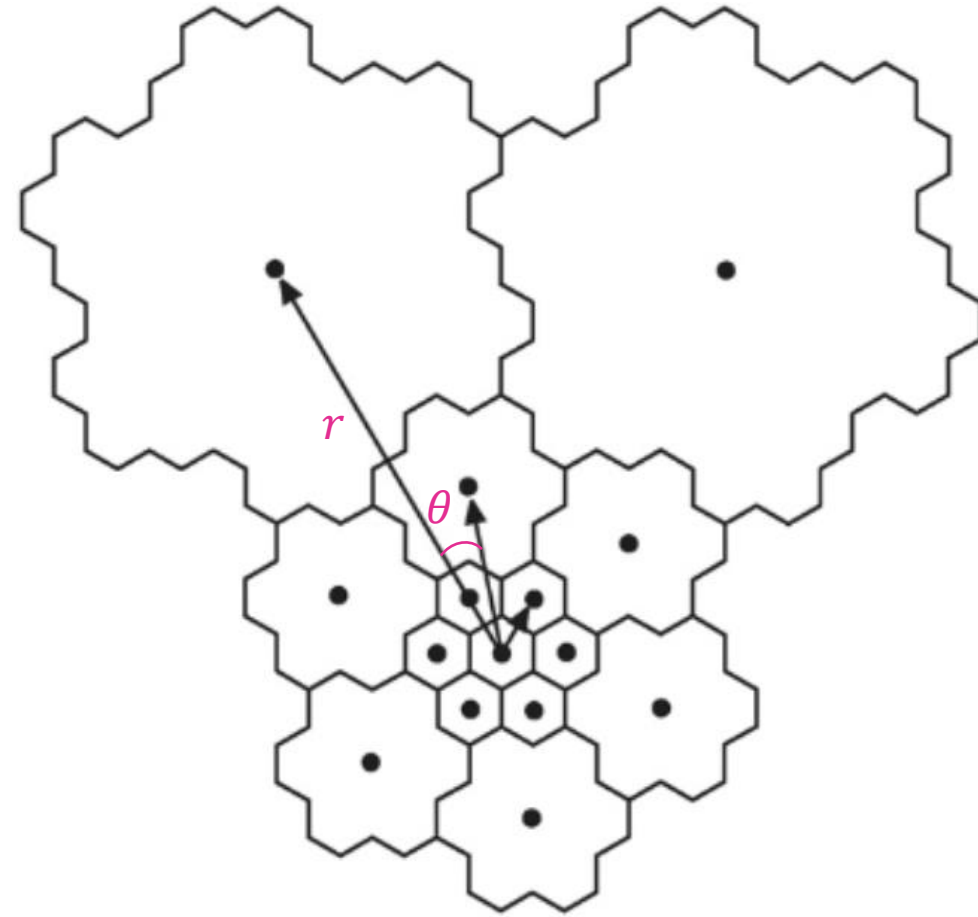
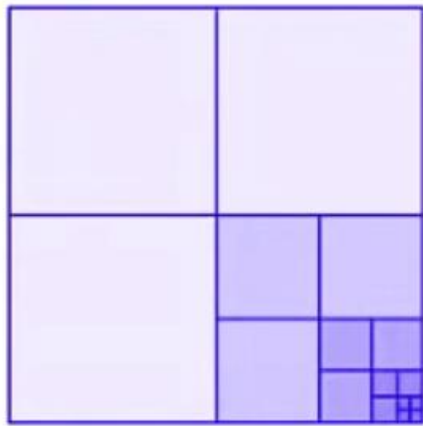
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## ■ Hierarchical grids

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Alternating CW, CCW  
19.1° rotations of 7  
children 1/7th the area





# Sampling

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- Checkerboard effect
  - due to uniform non-optimal square grid sampling



# Sampling

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- Checkerboard effect
  - due to uniform non-optimal square grid sampling

128x128



64x64



32x32



# Sampling

---

- Aliasing

continuous bandlimited function

$$f(x, y)$$

$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y = 0 \\ 0 & \text{otherwise} \end{cases}$$

$f(x, y)$  is a func of discrete vars  $x, y$

# Sampling

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- Aliasing

continuous bandlimited function

$$f(x, y)$$

$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \delta(x - x_0, y - y_0) = f(x_0, y_0)$$

$f(x, y)$  is a func of discrete vars  $x, y$

# Sampling

---

- Aliasing

continuous bandlimited function

$$f(x, y)$$

$$\text{comb}(x, y, \Delta x, \Delta y) = \sum_m \sum_n \delta(x - m\Delta x, y - n\Delta y)$$

$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \delta(x - x_0, y - y_0) = f(x_0, y_0)$$

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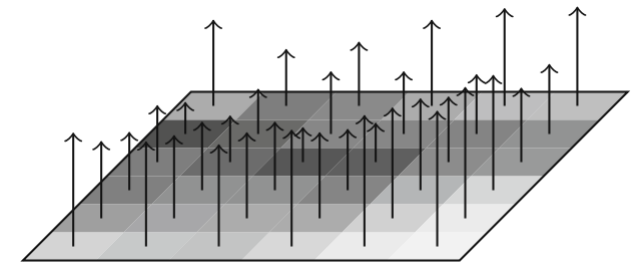
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continuous bandlimited function

$f(x, y)$



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$f(x, y)$  is a func of discrete vars  $x, y$

# Sampling

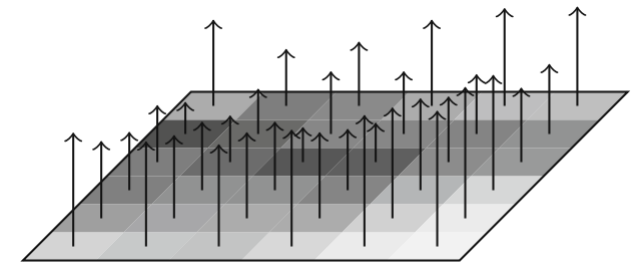
- Aliasing

$$f_s(x, y) = f(x, y) \text{comb}(x, y, \Delta x, \Delta y)$$

$$\text{comb}(x, y, \Delta x, \Delta y) = \sum_m \sum_n \delta(x - m\Delta x, y - n\Delta y)$$

continuous bandlimited function

$f(x, y)$



$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \delta(x - x_0, y - y_0) = f(x_0, y_0)$$

$f(x, y)$  is a func of discrete vars  $x, y$

# Sampling

- Aliasing

$$f_s(x, y) = f(x, y) \text{comb}(x, y, \Delta x, \Delta y)$$

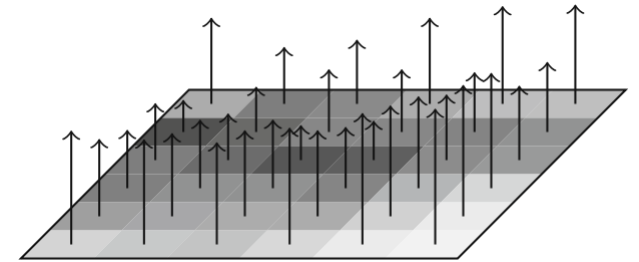
$$\text{comb}(x, y, \Delta x, \Delta y) = \sum_m \sum_n \delta(x - m\Delta x, y - n\Delta y)$$

$$\begin{aligned} F_s(\omega_x, \omega_y) &= F(\omega_x, \omega_y) * \omega_{x_s} \omega_{y_s} \sum_p \sum_q \delta(\omega_x - p\omega_{x_s}, \omega_y - q\omega_{y_s}) \\ &= \omega_{x_s} \omega_{y_s} \sum_p \sum_q F(\omega_x - p\omega_{x_s}, \omega_y - q\omega_{y_s}) \end{aligned}$$

where  $\omega_{x_s} = \frac{2\pi}{\Delta x}$ , and  $\omega_{y_s} = \frac{2\pi}{\Delta y}$

continuous bandlimited function

$f(x, y)$



$$\delta(x, y) = \begin{cases} 1 & \text{if } x = y = 0 \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{x=-\infty}^{\infty} \sum_{y=-\infty}^{\infty} f(x, y) \delta(x - x_0, y - y_0) = f(x_0, y_0)$$

$f(x, y)$  is a func of discrete vars  $x, y$

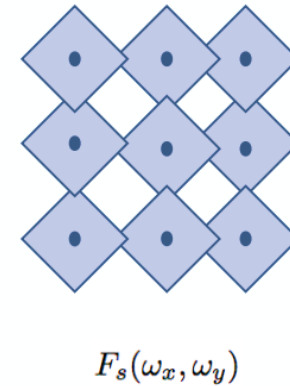
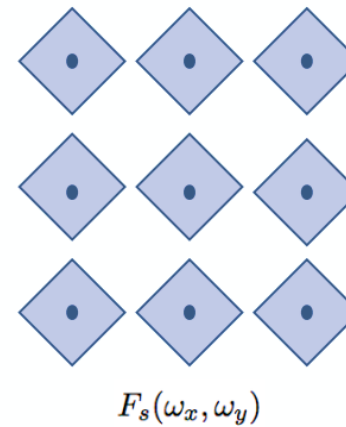
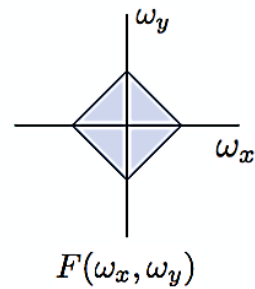
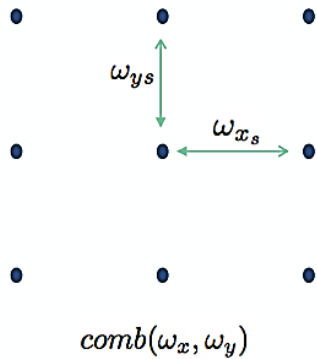


# Sampling

- Aliasing

$$f_s(x, y) = f(x, y) \text{comb}(x, y, \Delta x, \Delta y)$$

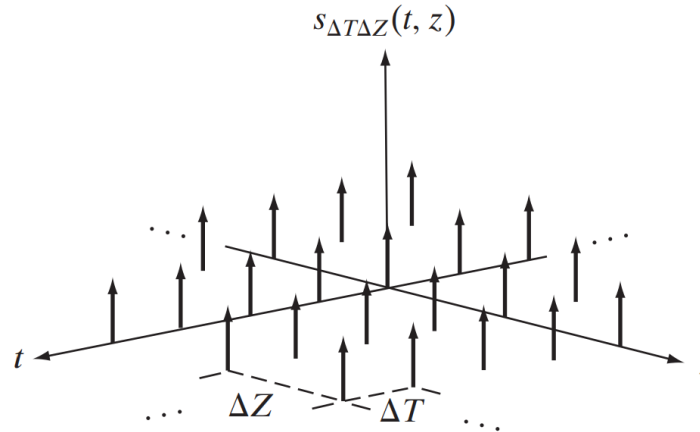
$$F_s(\omega_x, \omega_y) = \omega_{x_s} \omega_{y_s} \sum_p \sum_q F(\omega_x - p\omega_{x_s}, \omega_y - q\omega_{y_s})$$



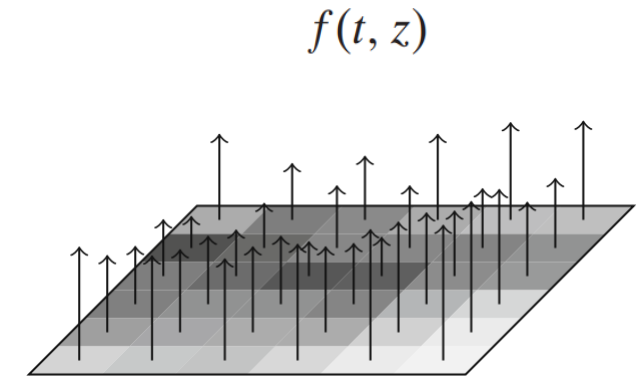
# Sampling

- Sampling theorem

- $f(t, z)$  can be recovered fully with zero error from its samples
- iff grid is 'sufficiently' dense
- just change of variables to simplify notations



continuous bandlimited function



$$s_{\Delta T \Delta Z}(t, z) = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \delta(t - m\Delta T, z - n\Delta Z)$$

$$F(\mu, \nu) = 0 \quad \text{for } |\mu| \geq \mu_{\max} \text{ and } |\nu| \geq \nu_{\max}$$

... band limits

# Sampling

- Sampling theorem

- $f(t, z)$  can be recovered fully with zero error from its samples
- No info is lost in the image if it is obtained via sampling at rates greater than twice the max freq content of  $f(t, z)$  in both  $\mu, \nu$  directions.

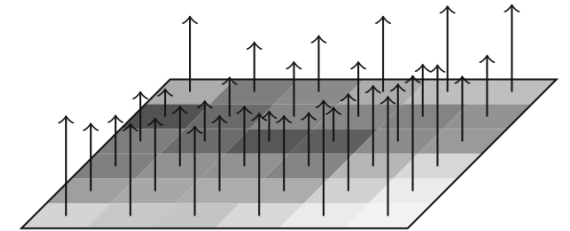
$F(\mu, \nu) = 0$  for  $|\mu| \geq \mu_{\max}$  and  $|\nu| \geq \nu_{\max}$  ... band limits

$$\frac{1}{\Delta T} > 2\mu_{\max} \quad \frac{1}{\Delta Z} > 2\nu_{\max}$$

continuous bandlimited function

Image  $\leftarrow f(t, z)$

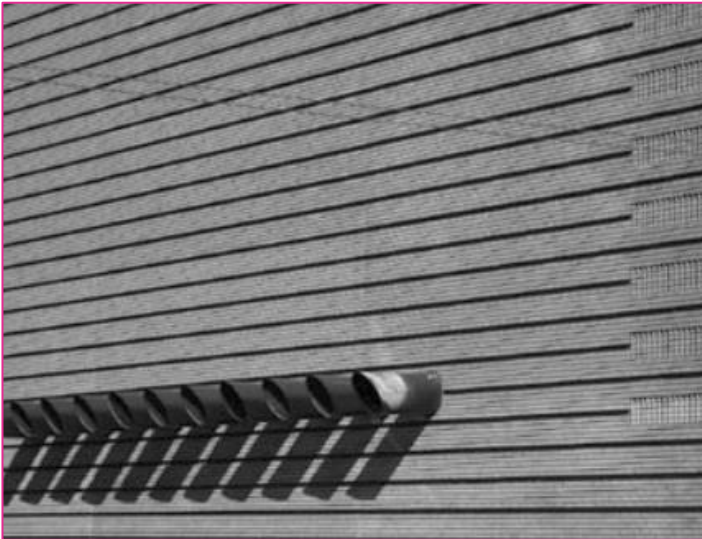
$$s_{\Delta T \Delta Z}(t, z) = \sum_{m=-\infty}^{\infty} \sum_{n=-\infty}^{\infty} \delta(t - m\Delta T, z - n\Delta Z)$$



# Sampling

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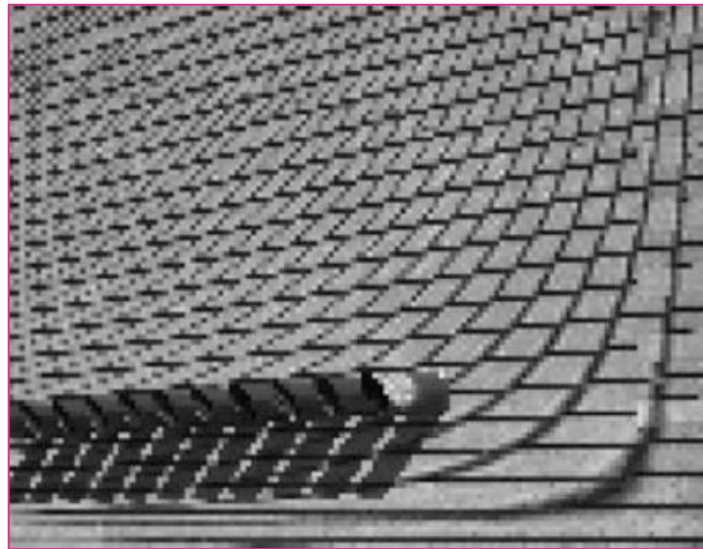
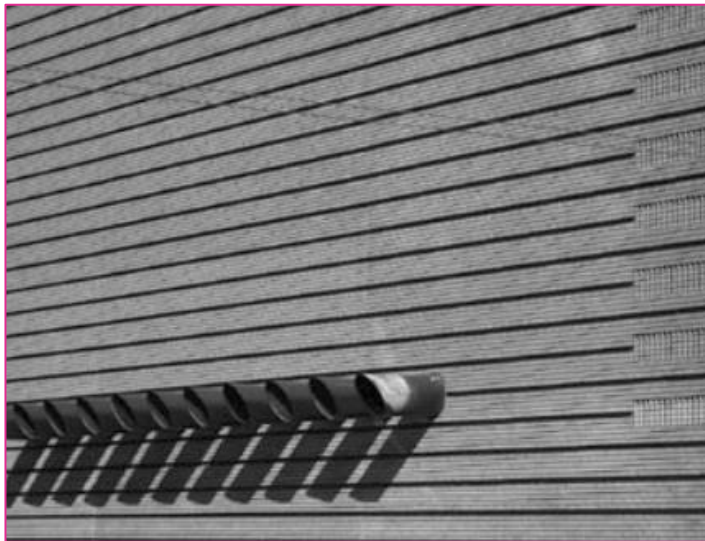
- Erroneous effects
  - square grid sampling



# Sampling

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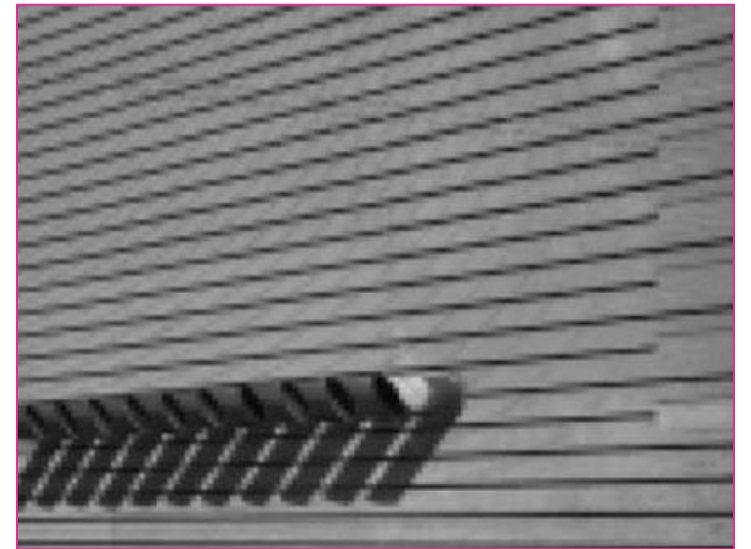
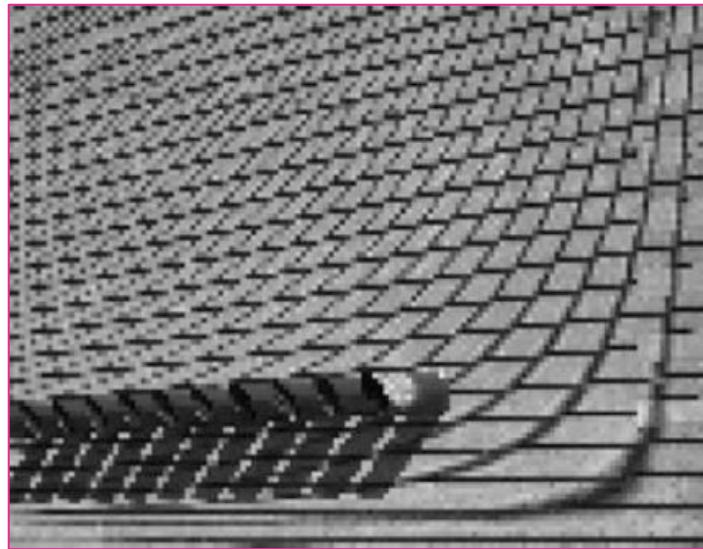
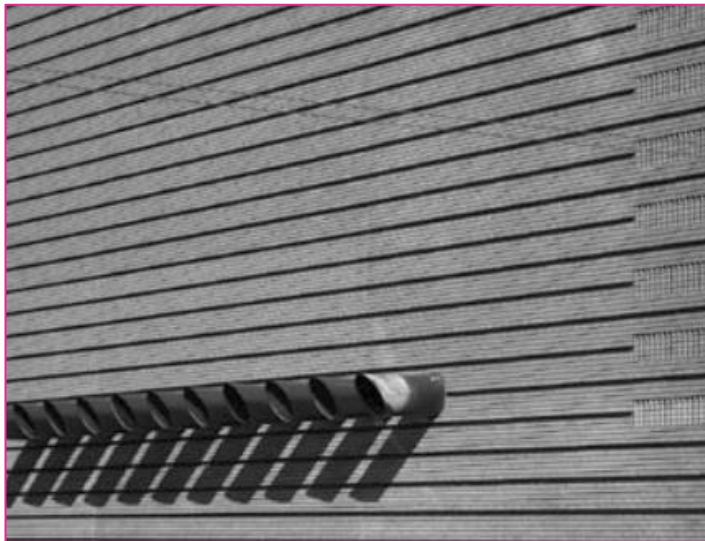
- Erroneous effects
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# Sampling

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- Erroneous effects
  - square grid sampling

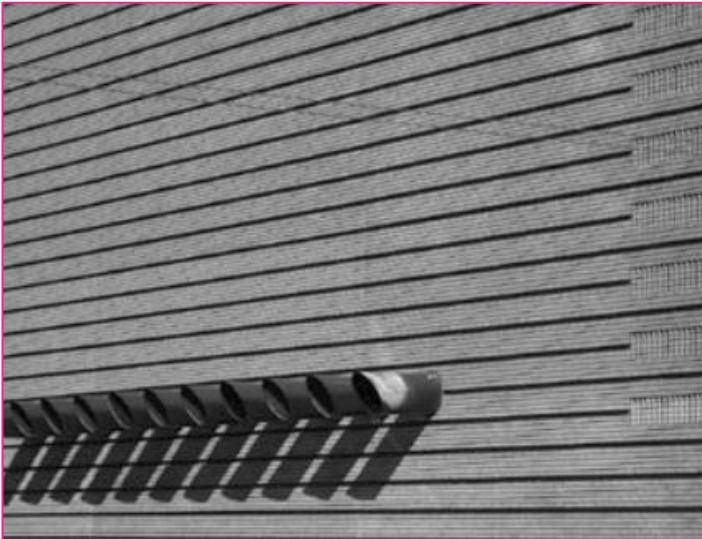


# Sampling

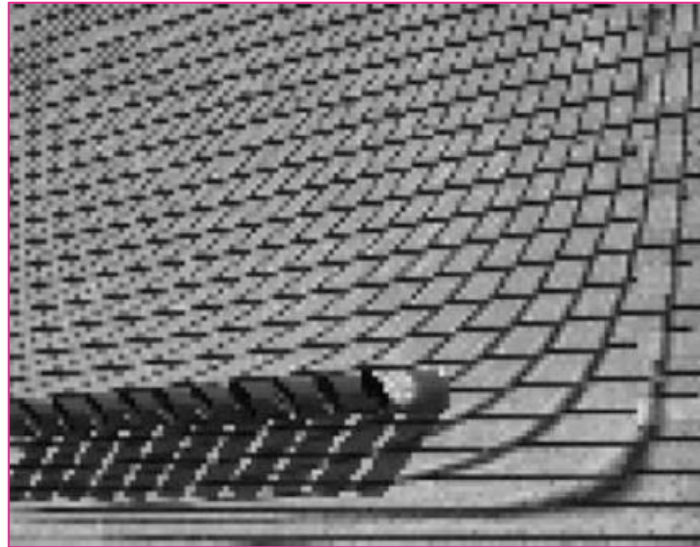
---

- Erroneous effects
  - square grid sampling

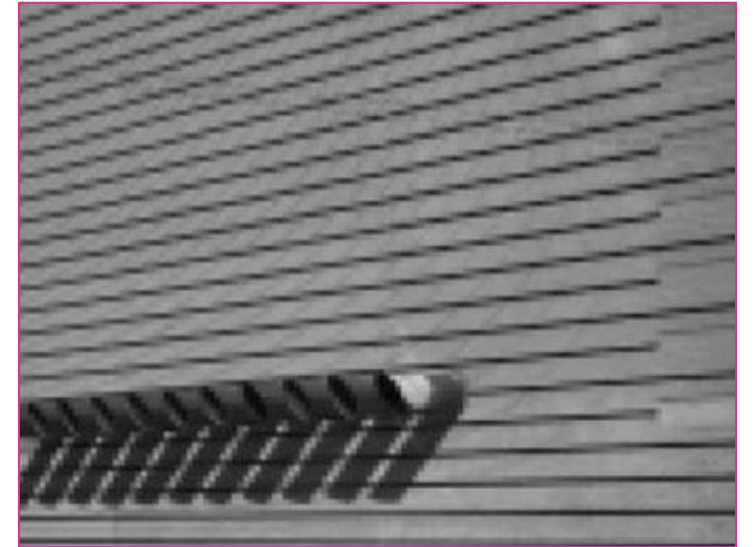
input



8x8 sq grid



8x8 mean

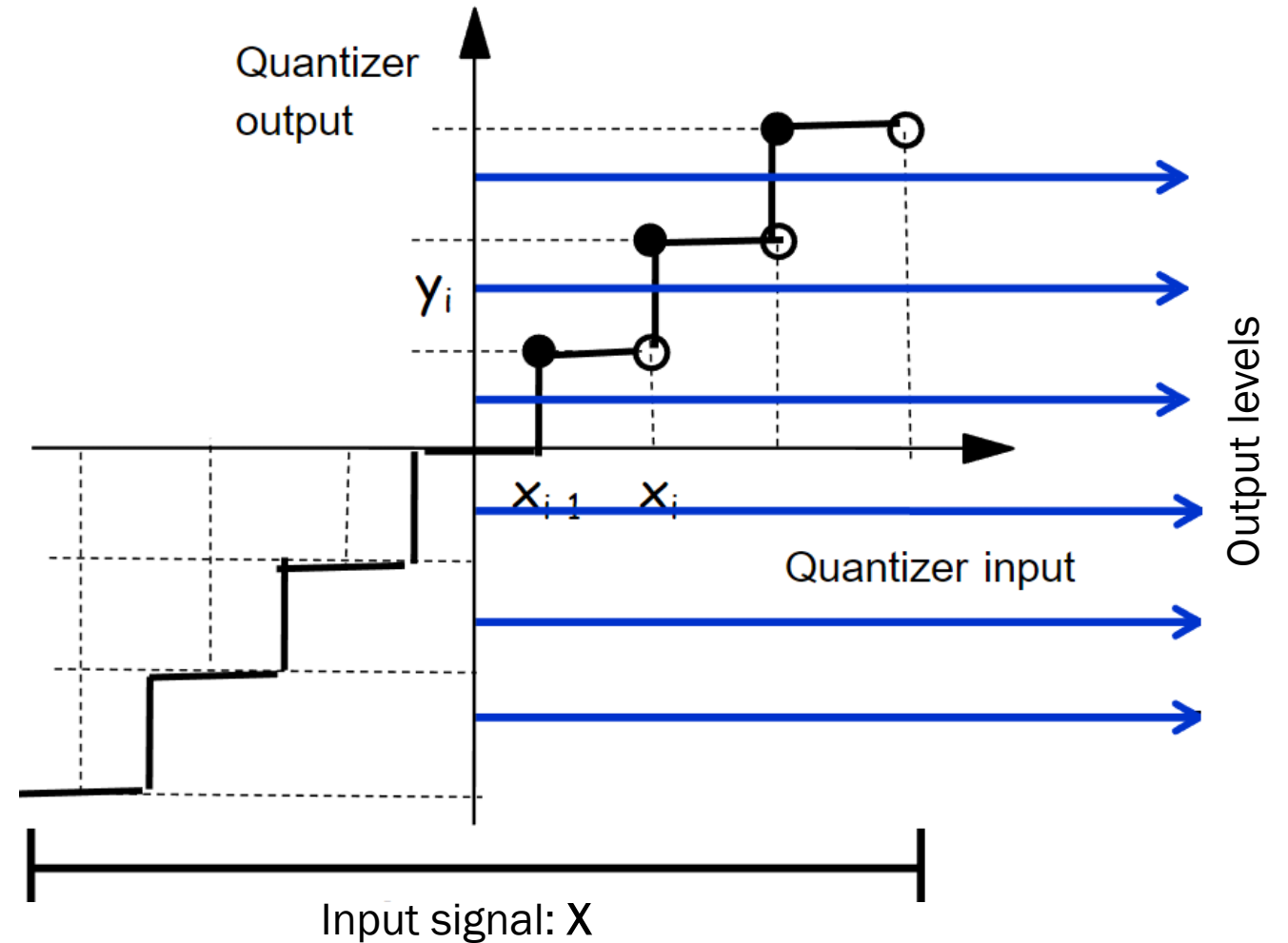


# Quantization

- Quantizer

- SISO – scalar quantizer
- mappings  $[x_{i-1}, x_i) \rightarrow y_i$
- what are the unknowns?

$$x \in [t_k, t_{k+1}) \Rightarrow Q(x) = r_k$$

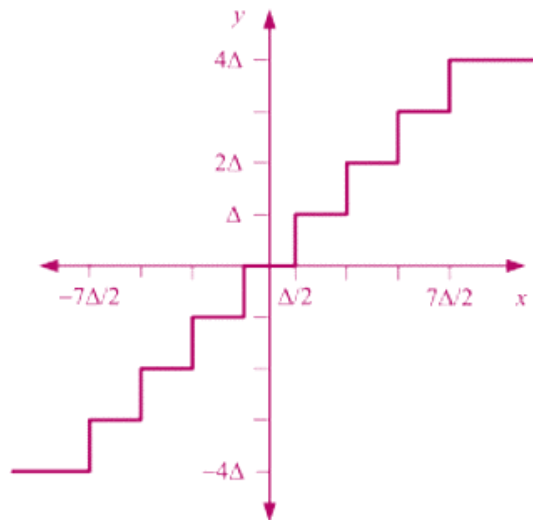




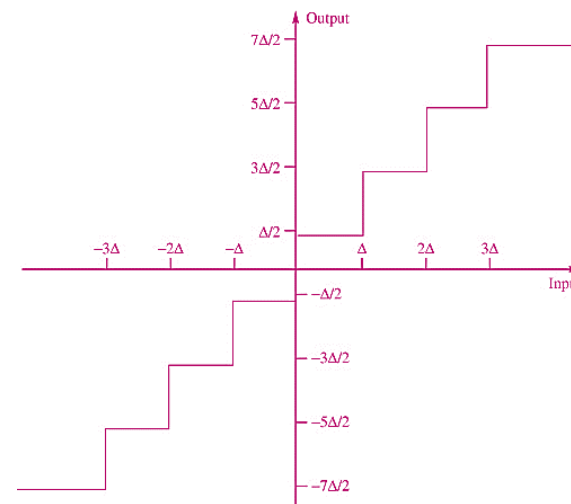
# Quantization

- Uniform quantizers
  - all ranges divided equally with  $\Delta = [t_k, t_{k+1})$  intervals
  - deadzone

Midtread quantizer



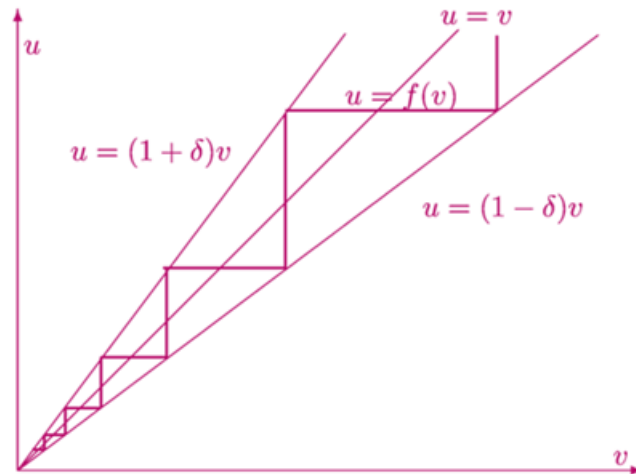
Midrise quantizer



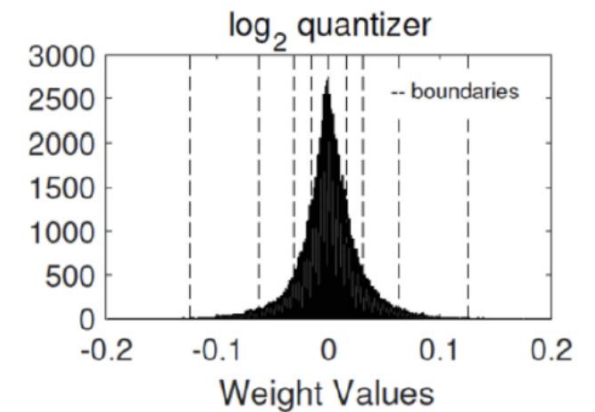
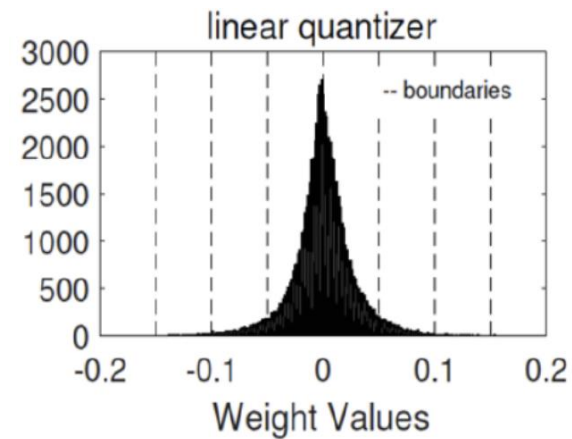
# Quantization

- Non-uniform quantizers
  - ranges divided via predefined function which gives  $\Delta$  intervals

Logarithmic quantizer



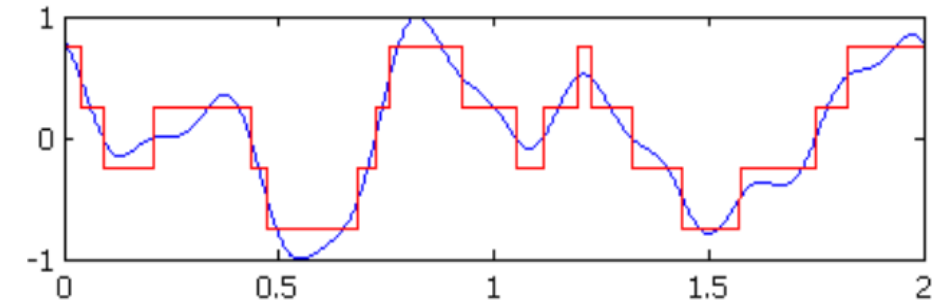
Logarithmic quantizer for image filter weights



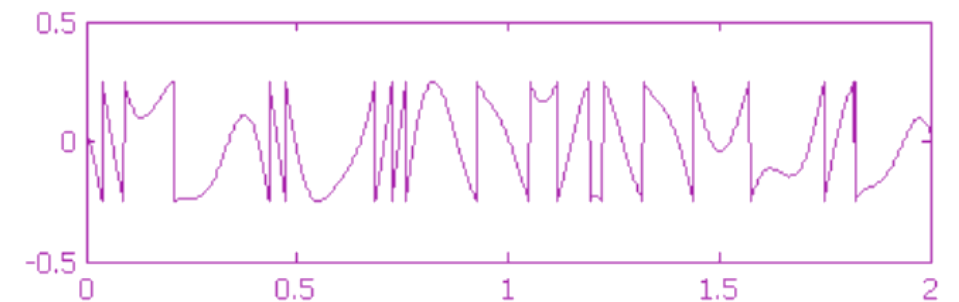
# Quantization

- Non-uniform quantizers
  - can we design optimal quantizer?
  - optimal in the sense to minimize error (which error?)
  - input:  $x_i \approx t_i$  :thresholds
  - output:  $y_i \approx r_i$  :reconstructions
  - signal distribution is known:  $p(x)$

Original and quantized signal



Quantization error

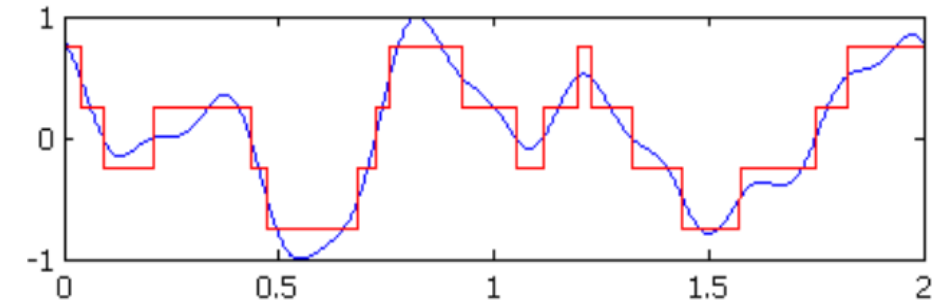


# Quantization

## ■ Non-uniform quantizers

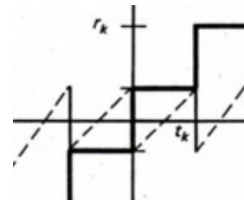
- can we design optimal quantizer?
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Original and quantized signal

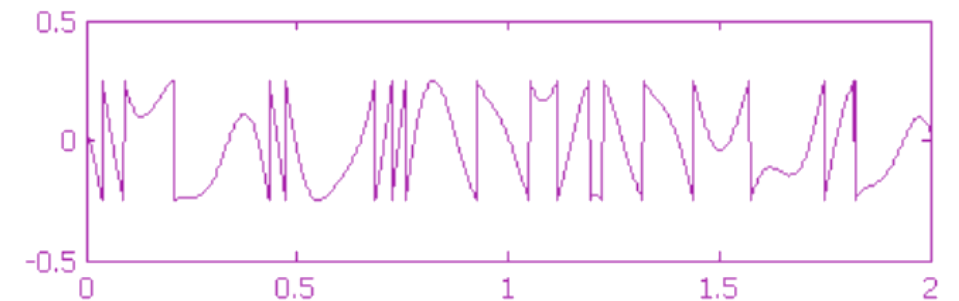


## ■ MSE

$$D = \int_a^b (x - Q(x))^2 p(x) dx$$



Quantization error



# Quantization

---

$$\begin{aligned} &\underset{t_k, r_k}{\text{minimize}} && D = \sum_{k=1}^L \int_{t_k}^{t_{k+1}} (x - r_k)^2 p(x) dx \\ &\text{subject to} && t_1 = a, \quad t_{L+1} = b, \quad t_k < t_{k+1}, \\ &&& t_k \leq r_k \leq t_{k+1} \quad k = 1, \dots, L. \end{aligned}$$

# Quantization

---

$$\begin{aligned} \underset{t_k, r_k}{\text{minimize}} \quad & D = \sum_{k=1}^L \int_{t_k}^{t_{k+1}} (x - r_k)^2 p(x) dx \\ \text{subject to} \quad & t_1 = a, \quad t_{L+1} = b, \quad t_k < t_{k+1}, \\ & t_k \leq r_k \leq t_{k+1} \quad k = 1, \dots, L. \end{aligned}$$

$$\begin{aligned} \frac{\partial D}{\partial r_k} &= \frac{\partial}{\partial r_k} \sum_{j=1}^L \int_{t_j}^{t_{j+1}} (x - r_j)^2 p(x) dx \\ &= -2 \int_{t_k}^{t_{k+1}} (x - r_k) p(x) dx, \quad k = 1, \dots, L \end{aligned}$$

# Quantization

---

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# Quantization

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$$\frac{\partial D}{\partial t_k} = \frac{\partial}{\partial t_k} \sum_{j=1}^L \int_{t_j}^{t_{j+1}} (x - r_j)^2 p(x) dx$$

Fundamental theorem of calculus:  
derivative with accumulation function  
( $c$  initial const.,  $f(t)$  is cts in open interval)

$$\begin{aligned} A(t) &= \int_c^t f(x) dx \\ A'(t) &= f(t) \end{aligned}$$



# Quantization

---

$$\begin{aligned} \underset{t_k, r_k}{\text{minimize}} \quad & D = \sum_{k=1}^L \int_{t_k}^{t_{k+1}} (x - r_k)^2 p(x) dx \\ \text{subject to} \quad & t_1 = a, \quad t_{L+1} = b, \quad t_k < t_{k+1}, \\ & t_k \leq r_k \leq t_{k+1} \quad k = 1, \dots, L. \end{aligned}$$

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Leibniz integral rule:

# Quantization

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Fundamental theorem of calculus:  
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$$\begin{aligned} A(t) &= \int_c^t f(x) dx \\ A'(t) &= f(t) \end{aligned}$$

Leibniz integral rule: 
$$\frac{d}{dx} \left( \int_{a(x)}^{b(x)} f(x, t) dt \right) = f(x, b(x)) \cdot \frac{d}{dx} b(x) - f(x, a(x)) \cdot \frac{d}{dx} a(x) + \int_{a(x)}^{b(x)} \frac{\partial}{\partial x} f(x, t) dt$$

# Quantization

---

$$\begin{aligned} \underset{t_k, r_k}{\text{minimize}} \quad & D = \sum_{k=1}^L \int_{t_k}^{t_{k+1}} (x - r_k)^2 p(x) dx \\ \text{subject to} \quad & t_1 = a, \quad t_{L+1} = b, \quad t_k < t_{k+1}, \\ & t_k \leq r_k \leq t_{k+1} \quad k = 1, \dots, L. \end{aligned}$$

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Fundamental theorem of calculus:  
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( $c$  initial const.,  $f(t)$  is cts in open interval)

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# Quantization

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Assuming  $p(x) > 0$  for each  $x \in [a, b]$ :

$$\begin{aligned} r_k &= \frac{\int_{t_k}^{t_{k+1}} x p(x) dx}{\int_{t_k}^{t_{k+1}} p(x) dx}, \quad k = 1, \dots, L \\ t_k &= \frac{r_{k-1} + r_k}{2}, \quad k = 2, \dots, L \end{aligned}$$

Fundamental theorem of calculus:  
derivative with accumulation function  
( $c$  initial const.,  $f(t)$  is cts in open interval)

$$\begin{aligned} A(t) &= \int_c^t f(x) dx \\ A'(t) &= f(t) \end{aligned}$$

# Quantization

---

- Lloyd-Max Quantizer
  - optimal MSE quantizer

$$r_k = \bar{x}_k = \frac{\int_{t_k}^{t_{k+1}} xp(x)dx}{\int_{t_k}^{t_{k+1}} p(x)dx}, \quad k = 1, \dots, L \quad \dots (1)$$

$$t_k = \frac{r_{k-1} + r_k}{2} = \frac{\bar{x}_{k-1} + \bar{x}_k}{2}, \quad k = 2, \dots, L \quad \dots (2)$$

# Quantization

- Lloyd-Max Quantizer
  - optimal MSE quantizer

$$r_k = \bar{x}_k = \frac{\int_{t_k}^{t_{k+1}} xp(x)dx}{\int_{t_k}^{t_{k+1}} p(x)dx}, \quad k = 1, \dots, L \quad \dots (1)$$

$$t_k = \frac{r_{k-1} + r_k}{2} = \frac{\bar{x}_{k-1} + \bar{x}_k}{2}, \quad k = 2, \dots, L \quad \dots (2)$$

- Pseudo-code

- : pick initial values for  $t$  (uniform grid)
- : find  $r$  values using (1)
- : find new  $t$  values using (2)
- : repeat till both  $t, r$  converge

# Quantization

---

- Properties of optimal quantizer

- $$\begin{aligned} E[Q(x)] &= \sum_k r_k p_k \\ &= E[x] \end{aligned}$$

$$r_k = \frac{\int_{t_k}^{t_{k+1}} x p(x) dx}{\int_{t_k}^{t_{k+1}} p(x) dx}$$

$$p_k = \int_{t_k}^{t_{k+1}} p(x) dx$$

- $$E[x - Q(x)] = 0$$



# Quantization

---

- Properties of optimal quantizer

- $$E[(x - Q(x))Q(x)] = \sum_k \int_{t_k}^{t_{k+1}} (x - r_k)r_k p(x) dx$$

$$r_k = \frac{\int_{t_k}^{t_{k+1}} x p(x) dx}{\int_{t_k}^{t_{k+1}} p(x) dx}$$

$$p_k = \int_{t_k}^{t_{k+1}} p(x) dx$$

# Quantization

---

- Properties of optimal quantizer

- $$E[(x - Q(x))Q(x)] = \sum_k \int_{t_k}^{t_{k+1}} (x - r_k)r_k p(x) dx$$

$$= 0$$

$$r_k = \frac{\int_{t_k}^{t_{k+1}} x p(x) dx}{\int_{t_k}^{t_{k+1}} p(x) dx}$$

$$p_k = \int_{t_k}^{t_{k+1}} p(x) dx$$

# Quantization

---

- Properties of optimal quantizer

- $E[(x - Q(x))Q(x)] = \sum_k \int_{t_k}^{t_{k+1}} (x - r_k)r_k p(x) dx$

$$= 0$$

$$r_k = \frac{\int_{t_k}^{t_{k+1}} x p(x) dx}{\int_{t_k}^{t_{k+1}} p(x) dx}$$

$$p_k = \int_{t_k}^{t_{k+1}} p(x) dx$$

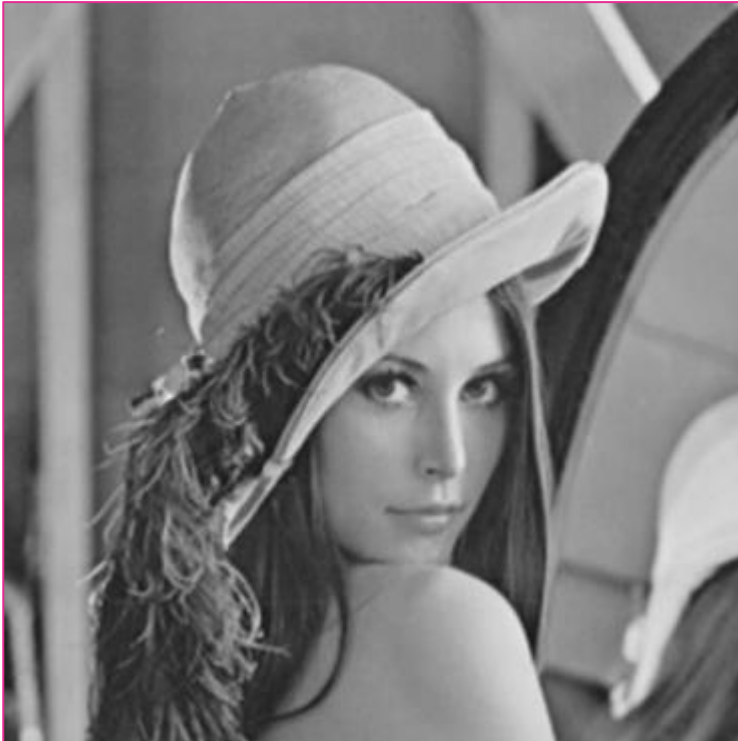
- error is uncorrelated with the quantizer's output

# Quantization

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- Lloyd-Max example

8 bpp

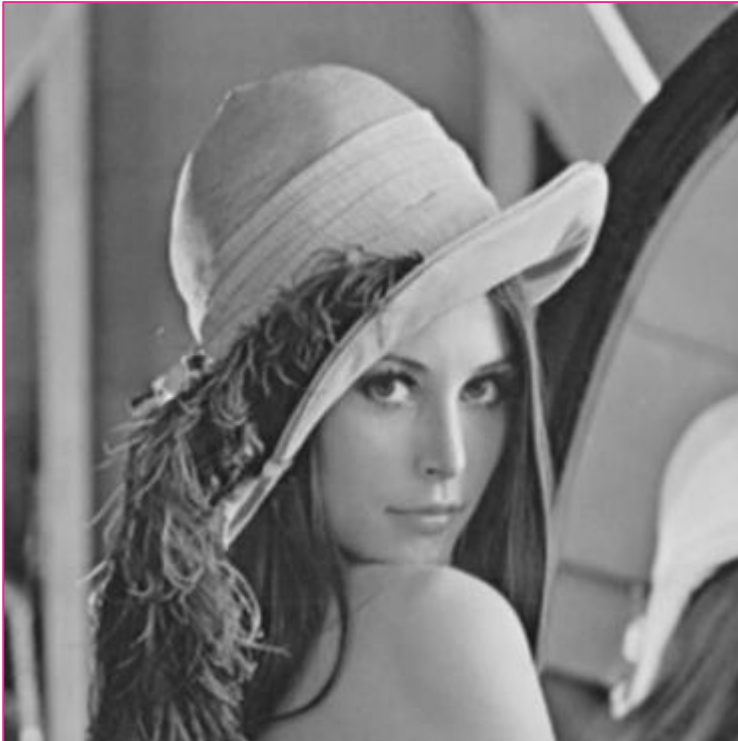


# Quantization

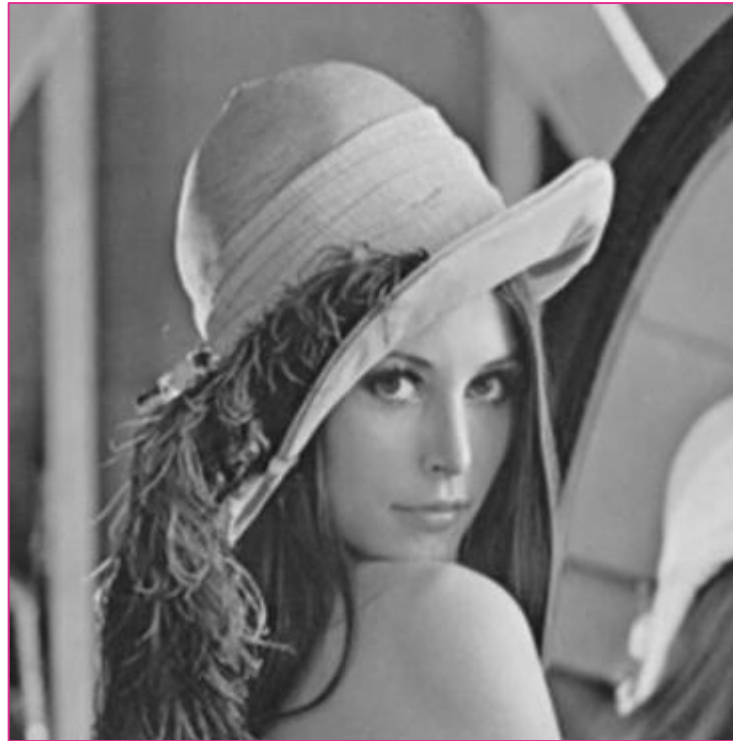
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- Lloyd-Max example

8 bpp



6 bpp

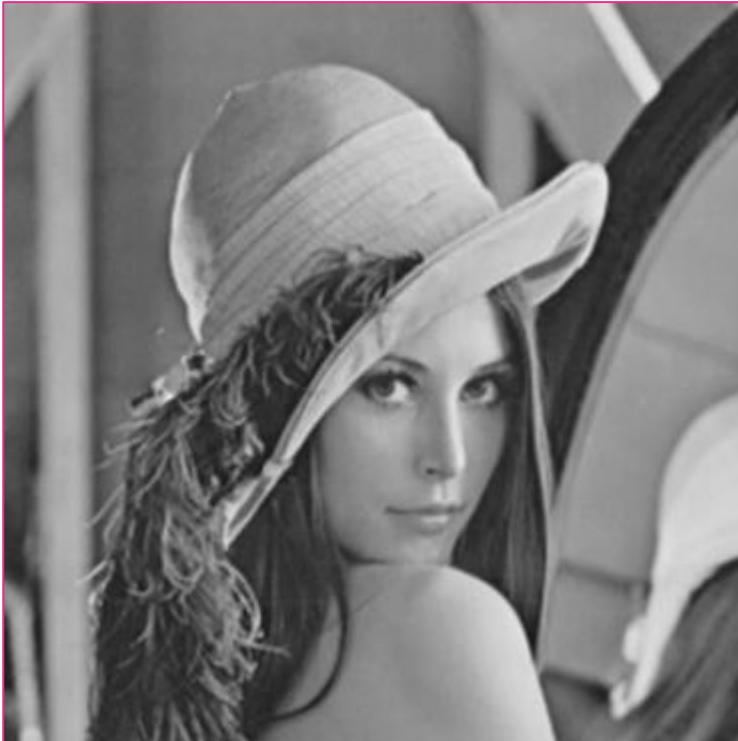


# Quantization

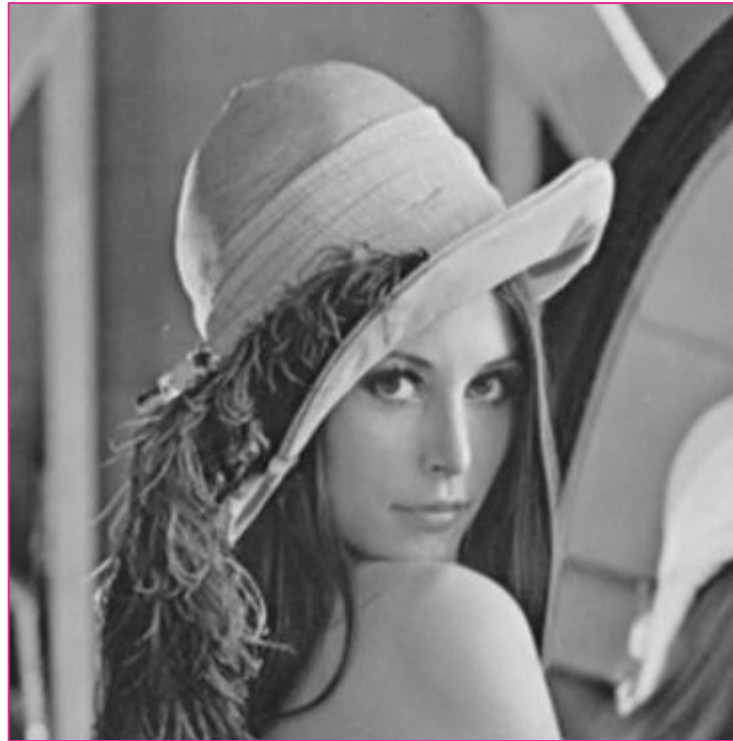
---

- Lloyd-Max example

8 bpp



6 bpp



4 bpp



# Conclusion

- Sampling
- Quantization

32x32



# Conclusion

- Sampling
- Quantization

## □ Sampling

- Squares
- Hexagonal
- Aliasing

## □ Quantization

- Uniform
- Non-uniform
- Optimal

32x32

